

**Definition 7.1.** An uncountable linear order  $(C, \leq)$  is said to be a *Countryman line* iff  $(C^2, \leq^2)$  may be covered by countably many chains.

**Exercise 7.2.** If  $(C, \leq)$  is a Countryman line, then for each integer  $n \geq 2$ ,  $(C^n, \leq^n)$  may be covered by countably many chains.

For all  $\alpha, \beta \in \omega_1$ , let  $\mathbf{d}(\alpha, \beta) = \{\tau < \min\{\alpha, \beta\} \mid \rho_{1\alpha}(\tau) \neq \rho_{1\beta}(\tau)\}$ , and, if  $\rho_{1\alpha}$  and  $\rho_{1\beta}$  are incompatible, then let  $\Delta(\alpha, \beta) := \min(\mathbf{d}(\alpha, \beta))$ . Note that by coherence of  $\rho_1$ ,  $\mathbf{d}(\alpha, \beta)$  is finite for any choice of  $\alpha, \beta < \omega_1$ .

**Definition 7.3.** For all  $\alpha, \beta < \omega_1$ , let  $\alpha \preceq_{\rho_1} \beta$  iff one the two hold:

- $\rho_{1\alpha}$  and  $\rho_{1\beta}$  are compatible, and  $\rho_{1\alpha} \subseteq \rho_{1\beta}$ ;
- $\rho_{1\alpha}$  and  $\rho_{1\beta}$  are incompatible, and  $\rho_{1\alpha}(\Delta(\alpha, \beta)) < \rho_{1\beta}(\Delta(\alpha, \beta))$ .

**Theorem 7.4.**  $(\omega_1, \preceq_{\rho_1})$  is a Countryman line.

*Proof.* We shall define a coloring  $c : (\omega_1)^2 \rightarrow V_\omega$  such that the preimage of any singleton would make a  $(\preceq_{\rho_1})^2$ -chain.

For all  $\delta < \omega_1$ , let  $c(\delta, \delta) = 0$ .

Next, let  $\gamma < \delta < \omega_1$  be arbitrary ordinals. Define:

- $n_{\gamma, \delta} := \max\{0, \rho_{1\gamma}(\tau), \rho_{1\delta}(\tau) \mid \tau \in \mathbf{d}(\gamma, \delta)\}$ ;
- $E_{\gamma, \delta} := \{\tau \leq \gamma \mid \rho_{1\gamma}(\tau) \leq n_{\gamma, \delta} \text{ or } \rho_{1\delta}(\tau) \leq n_{\gamma, \delta}\}$ .

By coherence of  $\rho_1$ ,  $n_{\gamma, \delta}$  is an integer, and since  $\rho_1$  is finite-to-one,  $E_{\gamma, \delta}$  is finite. Clearly,  $\mathbf{d}(\gamma, \delta) \subseteq E_{\gamma, \delta}$ , and by our convention to let  $\rho_1(\gamma, \gamma) = 0$  for all  $\gamma < \omega_1$ , we have  $\max(E_{\gamma, \delta}) = \gamma$ . Let:

- $m_{\gamma, \delta} := |E_{\gamma, \delta}|$ ;
- $\pi_{\gamma, \delta} : m_{\gamma, \delta} \leftrightarrow E_{\gamma, \delta}$  be the order-preserving bijection;
- $f_{\gamma, \delta} : m_{\gamma, \delta} \rightarrow \omega$  be  $f_{\gamma, \delta} := \rho_{1\gamma} \circ \pi_{\gamma, \delta}$ ;
- $g_{\gamma, \delta} : m_{\gamma, \delta} \rightarrow \omega$  be  $g_{\gamma, \delta} := \rho_{1\delta} \circ \pi_{\gamma, \delta}$ .

Finally, let:

- $c(\gamma, \delta) = \{(0, n_{\gamma, \delta}), (1, m_{\gamma, \delta}), (2, f_{\gamma, \delta}), (3, g_{\gamma, \delta})\}$ , and
- $c(\delta, \gamma) = \{(0, n_{\gamma, \delta}), (1, m_{\gamma, \delta}), (2, f_{\gamma, \delta}), (3, g_{\gamma, \delta}), (4, 4)\}$ .

Now, suppose that we are given ordinals  $\alpha, \beta, \gamma, \delta < \omega_1$  such that  $c(\alpha, \beta) = c(\gamma, \delta)$ , say it is  $x$ . Note:

- If  $\alpha = \gamma$ , then  $\{(\alpha, \beta), (\gamma, \delta)\} = \{(\alpha, \beta), (\alpha, \delta)\}$  is a chain.
- If  $x = 0$ , then  $\{(\alpha, \beta), (\gamma, \delta)\} = \{(\beta, \beta), (\delta, \delta)\}$  is a chain.
- If  $(4, 4) \in x$ , then  $c(\beta, \alpha) = c(\delta, \gamma) = x \setminus \{(4, 4)\}$  and  $\{(\alpha, \beta), (\gamma, \delta)\}$  is a chain iff  $\{(\beta, \alpha), (\delta, \gamma)\}$  is a chain.

Consequently, it suffices to prove that  $\{(\alpha, \beta), (\gamma, \delta)\}$  is a chain, under the assumption that  $\alpha \neq \gamma$  and  $x$  is of the form

$$x = \{(0, n), (1, m+1), (2, f), (3, g)\}.$$

In particular,  $\alpha < \beta$  and  $\gamma < \delta$ .

**Claim 7.4.1.** (1)  $\mathbf{d}(\alpha, \gamma) \neq \emptyset$  and  $\mathbf{d}(\beta, \delta) \neq \emptyset$ ;  
 (2)  $\Delta(\alpha, \gamma) \notin E_{\alpha, \beta} \cap E_{\gamma, \delta}$  and  $\Delta(\beta, \delta) \notin E_{\alpha, \beta} \cap E_{\gamma, \delta}$ .

*Proof.* By  $\pi_{\alpha, \beta}(m) = \alpha \neq \gamma = \pi_{\gamma, \delta}(m)$ , let  $i \leq m$  be the least such that  $\pi_{\alpha, \beta}(i) \neq \pi_{\gamma, \delta}(i)$ . Without loss of generality,  $\pi_{\alpha, \beta}(i) < \pi_{\gamma, \delta}(i)$ .<sup>1</sup>

By  $\pi_{\alpha, \beta}(j) = \pi_{\gamma, \delta}(j)$  for all  $j < i$  and  $\pi_{\alpha, \beta}(i) < \pi_{\gamma, \delta}(i)$ , we have  $\pi_{\alpha, \beta}(i) \in E_{\alpha, \beta} \cap \gamma \setminus E_{\gamma, \delta}$ , so that  $\rho_{1\alpha}(\pi_{\alpha, \beta}(i)) \leq n < \rho_{1\gamma}(\pi_{\alpha, \beta}(i))$  and  $\rho_{1\beta}(\pi_{\alpha, \beta}(i)) \leq n < \rho_{1\delta}(\pi_{\alpha, \beta}(i))$ . In particular,  $\pi_{\alpha, \beta}(i) \in \mathbf{d}(\alpha, \gamma) \cap \mathbf{d}(\beta, \delta)$ , so that  $\Delta(\alpha, \gamma) \leq \pi_{\alpha, \beta}(i)$  and  $\Delta(\beta, \delta) \leq \pi_{\alpha, \beta}(i)$ .

► If  $\Delta(\alpha, \gamma) \in E_{\alpha, \beta} \cap E_{\gamma, \delta}$ , then pick  $j \leq i$  such that  $\pi_{\alpha, \beta}(j) = \Delta(\alpha, \gamma)$ . By  $\pi_{\alpha, \beta}(i) \neq \pi_{\gamma, \delta}(i)$ , it must be the case that  $j < i$ . But then, the minimality of  $i$  implies that  $\pi_{\gamma, \delta}(i) = \Delta(\alpha, \gamma)$ , so that  $\rho_{1\alpha}(\Delta(\alpha, \gamma)) = f(j) = \rho_{1\gamma}(\Delta(\alpha, \gamma))$ . This is a contradiction.

► If  $\Delta(\beta, \delta) \in E_{\alpha, \beta} \cap E_{\gamma, \delta}$ , then pick  $j \leq i$  such that  $\pi_{\alpha, \beta}(j) = \Delta(\beta, \delta)$ . By  $\pi_{\alpha, \beta}(i) \neq \pi_{\gamma, \delta}(i)$ , it must be the case that  $j < i$ . But then, the minimality of  $i$  implies that  $\rho_{1\beta}(\Delta(\beta, \delta)) = g(j) = \rho_{1\delta}(\Delta(\beta, \delta))$ , which is a contradiction.  $\square$

**Claim 7.4.2.**  $(E_{\alpha, \beta} \Delta E_{\gamma, \delta}) \cap \alpha \cap \gamma \subseteq \mathbf{d}(\alpha, \gamma) \cap \mathbf{d}(\beta, \delta)$ .

*Proof.* Let  $\tau \in (E_{\alpha, \beta} \Delta E_{\gamma, \delta}) \cap \alpha \cap \gamma$  be arbitrary.

► If  $\tau \in E_{\alpha, \beta} \setminus E_{\gamma, \delta}$ , then  $\rho_{1\alpha}(\tau) \leq n < \rho_{1\gamma}(\tau)$  and  $\rho_{1\beta}(\tau) \leq n < \rho_{1\delta}(\tau)$ , so that  $\tau \in \mathbf{d}(\alpha, \gamma) \cap \mathbf{d}(\beta, \delta)$ .

► If  $\tau \in E_{\gamma, \delta} \setminus E_{\alpha, \beta}$ , then  $\rho_{1\gamma}(\tau) \leq n < \rho_{1\alpha}(\tau)$  and  $\rho_{1\delta}(\tau) \leq n < \rho_{1\beta}(\tau)$ , so that  $\tau \in \mathbf{d}(\alpha, \gamma) \cap \mathbf{d}(\beta, \delta)$ .  $\square$

**Claim 7.4.3.**  $\Delta(\alpha, \gamma) = \Delta(\beta, \delta)$ .

*Proof.* We have  $\Delta(\alpha, \gamma) < \alpha < \beta$  and  $\Delta(\alpha, \gamma) < \gamma < \delta$ , so that  $\Delta(\alpha, \gamma) \in \alpha \cap \gamma$ .

► If  $\Delta(\alpha, \gamma) \in E_{\alpha, \beta} \Delta E_{\gamma, \delta}$ , then by Claim 7.4.2,  $\Delta(\alpha, \gamma) \in \mathbf{d}(\beta, \delta)$ .

► If  $\Delta(\alpha, \gamma) \notin E_{\alpha, \beta} \Delta E_{\gamma, \delta}$ , then by Claim 7.4.1,  $\Delta(\alpha, \gamma) \notin E_{\alpha, \beta} \cup E_{\gamma, \delta}$ , so that  $\rho_{1\beta}(\Delta(\alpha, \gamma)) = \rho_{1\alpha}(\Delta(\alpha, \gamma)) \neq \rho_{1\gamma}(\Delta(\alpha, \gamma)) = \rho_{1\delta}(\Delta(\alpha, \gamma))$  and hence again  $\Delta(\alpha, \gamma) \in \mathbf{d}(\beta, \delta)$ .

Consequently,  $\Delta(\beta, \delta) \leq \Delta(\alpha, \gamma)$ . In particular,  $\Delta(\beta, \delta) \in \alpha \cap \gamma$ .

► If  $\Delta(\beta, \delta) \in E_{\alpha, \beta} \Delta E_{\gamma, \delta}$ , then by Claim 7.4.2,  $\Delta(\beta, \delta) \in \mathbf{d}(\alpha, \gamma)$ .

► If  $\Delta(\beta, \delta) \notin E_{\alpha, \beta} \Delta E_{\gamma, \delta}$ , then by Claim 7.4.1,  $\Delta(\beta, \delta) \notin E_{\alpha, \beta} \cup E_{\gamma, \delta}$ , so that  $\rho_{1\alpha}(\Delta(\beta, \delta)) = \rho_{1\beta}(\Delta(\beta, \delta)) \neq \rho_{1\delta}(\Delta(\beta, \delta)) = \rho_{1\gamma}(\Delta(\beta, \delta))$  and hence again  $\Delta(\beta, \delta) \in \mathbf{d}(\alpha, \gamma)$ .

Consequently,  $\Delta(\alpha, \gamma) \leq \Delta(\beta, \delta)$ , and we are done.  $\square$

Without loss of generality, suppose that  $\alpha \preceq_{\rho_1} \gamma$ , and let us show that  $\beta \preceq_{\rho_1} \delta$ .

<sup>1</sup>Note that all we know is that  $\alpha < \beta$  and  $\gamma < \delta$ .

By Claims 7.4.1 and 7.4.3, the analysis splits into three cases:

► If  $\Delta(\beta, \delta) \notin E_{\alpha, \beta} \cup E_{\gamma, \delta}$ , then by  $\alpha \preceq_{\rho_1} \gamma$ :

$$\rho_{1\delta}(\Delta(\beta, \delta)) = \rho_{1\gamma}(\Delta(\alpha, \gamma)) > \rho_{1\alpha}(\Delta(\alpha, \gamma)) = \rho_{1\beta}(\Delta(\beta, \delta)),$$

so that  $\beta \preceq_{\rho_1} \delta$ , as sought.

► If  $\Delta(\beta, \delta) \in E_{\alpha, \beta} \setminus E_{\gamma, \delta}$ , then

$$\rho_{1\beta}(\Delta(\beta, \delta)) \leq n < \rho_{1\delta}(\Delta(\beta, \delta)),$$

so that  $\beta \preceq_{\rho_1} \delta$ , as sought.

► If  $\Delta(\alpha, \gamma) \in E_{\gamma, \delta} \setminus E_{\alpha, \beta}$ , then

$$\rho_{1\gamma}(\Delta(\alpha, \gamma)) \leq n < \rho_{1\alpha}(\Delta(\alpha, \gamma)),$$

contradicting the fact that  $\alpha \preceq_{\rho_1} \gamma$ . So this case is void.  $\square$

The preceding proof only used the facts that  $\rho_1$  is finite-to-one and coherent. An elaboration of this proof yields the following generalization.

**Exercise 7.5.** *If  $\mathcal{T} \subseteq {}^{<\omega_1}\omega$  is an  $\mathbb{R}$ -embeddable coherent Aronszajn tree, then  $(\mathcal{T}, \leq_\epsilon)$  is Countryman.*

**Exercise 7.6** (Peng, 2013). *Prove that  $(\mathcal{T}(\rho_2), \leq_\epsilon)$  and  $(\mathcal{T}_\pi(\rho_2), \leq_<)$  are isomorphic.*

*Conclude that  $(\omega_1, \preceq_{\rho_2})$  is Countryman.*

So, we already have two examples of Countryman lines: one that is read from the special tree  $\mathcal{T}(\rho_2)$ , and one that is a read from a (consistently, nonspecial) Aronszajn tree  $\mathcal{T}(\rho_1)$ . But why does it have to be Aronszajn in the first place?

**Definition 7.7.** A poset  $(T, \leq_T)$  is a *tree* if for all  $x \in T$ , the set  $x_\downarrow := \{y \in P \mid y <_T x\}$  is well-ordered by  $\leq_T$ . The  $\alpha^{\text{th}}$ -level of the tree, denoted  $T_\alpha$  consists of all  $x \in P$  such that  $\text{otp}(x_\downarrow, <_T) = \alpha$ .

A tree  $(T, \leq_T)$  is *Aronszajn* if  $0 < |T_\alpha| \leq \aleph_0$  for all  $\alpha < \omega_1$  and there are no uncountable chains.

**Definition 7.8.** For a linearly ordered set  $(L, \leq)$ , let  $\text{Conv}(L, \leq)$  denote the collection of all convex subsets of  $(L, \leq)$ , i.e., the collection of all  $U \subseteq L$  with the property that for every  $x \leq y \leq z$  in  $L$ : if  $x, z \in U$ , then  $y \in U$ .

Given a function  $f : \text{Conv}(L, \leq) \rightarrow L$  satisfying  $f(U) \in U \setminus \{\min(U)\}$  for all  $U$  with  $|U| \geq 2$ , we derive  $\hat{f} : \text{Conv}(L, \leq) \rightarrow \mathcal{P}(\text{Conv}(L, \leq))$  by stipulating

$$\hat{f}(U) := \begin{cases} \{\{y \in U \mid y < f(U)\}, \{y \in U \mid f(U) \leq y\}\}, & \text{if } |U| \geq 2; \\ \emptyset, & \text{otherwise.} \end{cases}$$

**Definition 7.9** (The  $f$ -partition tree). Given a linear order  $(L, \leq)$  and a function  $f$  as above, one defines  $\mathcal{T}^f \subseteq \text{Conv}(L, \leq)$  so that  $(\mathcal{T}^f, \supseteq)$  would make a tree-ordering.

This is done by recursion on  $\alpha \in \text{Ord}$ :

- $T_0^f := \{L\}$ ;
- $T_{\alpha+1}^f := \bigcup \{\hat{f}(U) \mid U \in T_\alpha^f\}$ ;
- $T_\alpha^f := \{\bigcap \text{range}(b) \mid b \in \prod_{\beta < \alpha} T_\beta^f, (\text{range}(b), \supseteq) \text{ is a chain}\} \setminus \{\emptyset\}$  for limit nonzero  $\alpha$ .

Finally, put  $\mathcal{T}^f := \bigcup \{T_\alpha^f \mid \alpha \in \text{Ord}\}$ .

Notice that for every chain  $\mathcal{B}$  in  $(\mathcal{T}^f, \supseteq)$ , if  $|\bigcap \mathcal{B}| \geq 2$ , then  $\bigcap \mathcal{B}$  is a non-terminal node in  $T^f$ .

**Exercise 7.10.** Prove that for any ordinal  $\alpha$ ,  $T_\alpha^f$  consists of pairwise disjoint sets, and is equal to the  $\alpha^{\text{th}}$  level of  $(\mathcal{T}^f, \supseteq)$ .

**Exercise 7.11.** Prove that  $T_\alpha^f \cap T_\beta^f = \emptyset$  whenever  $\alpha \neq \beta$ .

It follows that  $T_\alpha^f = \emptyset$  whenever  $\alpha \geq (2^{|L|})^+$ . The *height* of  $\mathcal{T}^f$  is thus defined to be the least  $\alpha$  for which  $T_\alpha^f = \emptyset$ .

**Lemma 7.12.** If  $(C, \leq)$  is a Countryman line, then for every choice of  $f$ ,  $(\mathcal{T}^f, \supseteq)$  is an Aronszajn tree.

*Proof.* Our proof will only make use of the fact that  $(C, \leq)$  does not embed uncountable separable linear orders, nor  $(\omega_1, \in)$  nor  $(\omega_1, \supseteq)$ .

**Claim 7.12.1.**  $|T_\alpha^f| \leq \aleph_0$  for all  $\alpha < \omega_1$ .

*Proof.* Suppose not, and let  $\alpha < \omega_1$  be the least for which  $|T_\alpha^f| > \aleph_0$ . For each  $U \in T_\alpha$ , pick  $x_U \in U$ . Now, if  $U, V$  are two distinct elements of  $T_\alpha$ , then  $x_U \neq x_V$ , say  $x_U < x_V$ , and then by definition of the tree, the set  $\{W \in \bigcup_{\beta < \alpha} T_\beta^f \mid \{x_U, x_V\} \subseteq W\}$  contains a maximal element with respect to the  $\supseteq$ -ordering. Let  $W$  be this maximal element. Then  $x_U \leq f(W) \leq x_V$ .

Thus, the countable set  $\{f(W) \mid W \in \bigcup_{\beta < \alpha} T_\beta^f\}$  witnesses that  $\{x_U \mid U \in T_\alpha\}$  is an uncountable separable suborder of  $(C, \leq)$ . This is a contradiction.  $\square$

**Claim 7.12.2.**  $T_\alpha^f \neq \emptyset$  for all  $\alpha < \omega_1$ .

*Proof.* Suppose not, so that  $T^f$  has a countable height, say  $\delta$ . Then by the previous claim  $D := \{f(U) \mid U \in T^f\}$  is countable. We shall reach a contradiction by showing that  $D$  witnesses that  $(C, \leq)$  is separable.

Let  $x < z$  be arbitrary elements of  $C$ . Let  $\alpha \leq \delta$  be the least ordinal such that “ $\{x, z\} \subseteq U$ ” does not hold for any  $U \in T_\alpha^f$ . Clearly,  $\alpha > 0$ .

For all  $\beta < \alpha$ , there exists  $U \in T_\beta^f$  such that  $\{x, z\} \subseteq U$ . So  $\alpha$  must be a successor ordinal. Let  $U \in T_{\alpha-1}^f$  be such that  $\{x, z\} \subseteq U$ .

► If  $z < f(U)$ , then  $\{x, z\} \subseteq \{y \in U \mid y < f(U)\} \in T_\alpha^f$ , contradicting the choice of  $\alpha$ .

► If  $x \leq f(U) \leq z$ , then by  $f(U) \in D$ , we are good.

► If  $f(U) < x$ , then  $\{x, z\} \subseteq \{x \in U \mid x \geq f(U)\} \in T_\alpha^f$ , contradicting the choice of  $\alpha$ .  $\square$

**Claim 7.12.3.**  $T^f$  does not have an uncountable branch. In particular,  $T_{\omega_1}^f$  is empty.

*Proof.* Suppose not. Pick  $\langle U_\alpha \mid \alpha < \omega_1 \rangle \in \prod_{\alpha < \omega_1} T_\alpha^f$  such that  $\{U_\alpha \mid \alpha < \omega_1\}$  is linearly ordered by  $\supseteq$ . In particular,  $|U_\alpha| \geq 2$  for all  $\alpha < \omega_1$ .

Define  $g : \omega_1 \rightarrow 2$  by stipulating

$$g(\alpha) := \begin{cases} 1, & \text{if } U_{\alpha+1} = \{y \in U_\alpha \mid y \geq f(U_\alpha)\}; \\ 0, & \text{otherwise.} \end{cases}$$

Pick an uncountable  $Y \subseteq \omega_1$  on which  $g$  is constant.

► If  $g[Y] = \{1\}$ , then for all  $\alpha < \beta$  both from  $Y$ , we have

$$f(U_\beta) \in U_\beta \subseteq U_{\alpha+1} = \{y \in U_\alpha \mid y \geq f(U_\alpha)\},$$

so that  $f(U_\beta) \geq f(U_\alpha)$ . Since  $f(U_\alpha)$  is the minimal element of  $U_{\alpha+1} \supseteq U_\beta$ , and  $f(U_\beta) \neq \min(U_\beta)$ , we moreover get that  $f(U_\beta) > f(U_\alpha)$ . Consequently,  $\{f(U_\alpha) \mid \alpha \in Y\}$  is a copy of  $(\omega_1, \in)$  in  $(C, \leq)$ , which is a contradiction.

► If  $g[Y] = \{0\}$ , then for all  $\alpha < \beta$  both from  $Y$ , we have

$$f(U_\beta) \in U_\beta \subseteq U_{\alpha+1} = \{y \in U_\alpha \mid y < f(U_\alpha)\},$$

and hence  $f(U_\beta) < f(U_\alpha)$ . Consequently,  $\{f(U_\alpha) \mid \alpha \in Y\}$  is a copy of  $(\omega_1, \ni)$  in  $(C, \leq)$ , which is again a contradiction.  $\square$

This completes the proof.  $\square$